

TABLE VI. Deformation potentials (in eV) of the transitions at  $L$ .

Deformation potentials	Experiment		Theory	
	Present paper	Zallen <sup>a</sup>	Present paper	DFJ <sup>b</sup> Jacobs <sup>c</sup>
$(E_d - E_F)/\partial e_{yz}$	$-72 \pm 12$		$-56$	
$(E_d - E_F)/\partial e^d$	$-9.6 \pm 1.5$	$9.7 \pm 2.0$	$-5.1$	
$(E_d - L_1^u)/\partial e$	$-1.1 \pm 0.1$	Absolute value $< 1.3$	$-0.8$	
$(L_1^u - L_1^d)/\partial e$			$-5.9$	$-4.1$

<sup>a</sup> Reference 47.<sup>b</sup> Reference 43.<sup>c</sup> Reference 48.<sup>d</sup>  $e = \Delta V/V$  is the relative change of the volume  $V$ .

the form given by Cohen and Mueller.<sup>35</sup> Using symmetrized plane waves as well as symmetrized tight-binding functions, we can write down the eigenvalues of  $L_1^u$  and of  $L_3^{u,l}$  immediately:

$$L_2^l = k^2 - V_{111}, \quad (7)$$

$$L_3^{l,u} = E_d + \pi - \delta \pm [(\pi - \delta)^2 + 4.5(-\sigma + \delta)^2]^{1/2}. \quad (8)$$

The vector  $\mathbf{k}$  is that of the  $L$  point,  $V_{111}$  is a pseudo-potential form factor,  $E_d$  gives the position of the  $d$  bands above  $\Gamma_1$ , and  $\sigma \equiv (dd\sigma)$ ,  $\pi \equiv (dd\pi)$ , and  $\delta \equiv (dd\delta)$  are the two-center tight-binding integrals defined by Slater and Koster.<sup>36</sup> The two  $L_1$  levels are obtained from the secular equation

$$\begin{vmatrix} H_{\Phi\Phi} - E & H_{\Phi d} \\ H_{d\Phi} & H_{dd} - E \end{vmatrix} = 0. \quad (9)$$

The function  $d$  is a tight-binding Bloch sum symmetrized to  $L_1$  and  $\Phi$  is a plane wave symmetrized to  $L_1$  and orthogonalized to  $d$ ,

$$\Phi = (\varphi - b_d d)/C. \quad (10)$$

The abbreviations used in Eq. (10) are

$$\varphi = (2/V)^{1/2} \cos(\mathbf{k} \cdot \mathbf{r}), \quad (11)$$

$$b_d = \langle \varphi | d \rangle, \quad (12)$$

$$C^2 = 1 - b_d^2. \quad (13)$$

The matrix elements of Eq. (9) are

$$H_{dd} = E_d - 4(\pi - \delta), \quad (14)$$

$$H_{\Phi d} = (H_{\varphi d} - b_d H_{dd})/C, \quad (15)$$

$$H_{\Phi\Phi} = (k^2 + V_{111} + V_{\varphi\varphi}^{(2)} + b_d^2 H_{dd} - 2b_d H_{\varphi d})/C^2. \quad (16)$$

We calculated  $b_d$  and the tight-binding integrals using the atomic wave function and the atomic potential calculated by Hartree and Hartree<sup>37</sup> and parametrized

<sup>35</sup> M. H. Cohen and F. M. Mueller, in *Atomic and Electronic Structure of Metals* (American Society for Metals, Metals Park, Ohio, 1967), p. 61.

<sup>36</sup> J. C. Slater and G. F. Koster, *Phys. Rev.* **94**, 1498 (1954).

<sup>37</sup> D. R. Hartree and W. Hartree, *Proc. Roy. Soc. (London)* **A157**, 490 (1936).

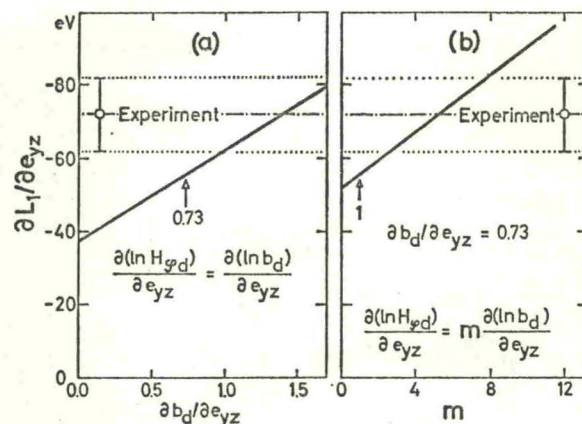


Fig. 13. The dependence of the shear strain deformation potential  $\partial L_1/\partial e_{yz}$  on the strain coefficient of the orthogonality integral  $b_d$  [part (a) of the figure] and on the strain coefficient of the hybridization  $H_{\varphi d}$  [part (b) of the figure]. The value  $\partial b_d/\partial e_{yz} = 0.73$  was calculated using atomic  $d$  functions.

by Fletcher and Wohlfahrth.<sup>38</sup> The numerical values are given in Table V. The tight binding integrals agree with those calculated by Fletcher and Wohlfahrth. The value of the orthogonalization integral  $b_d$  given by Mueller<sup>34</sup> is 16% lower than the one reported here.

A first-principles calculation of the quantities  $E_d$ ,  $H_{\varphi d}$ , and  $\Delta V_{\varphi\varphi}^{(2)}$  is extremely difficult and will not be attempted here. Instead, we determine them from the eigenvalues of Fig. 9,<sup>13,14</sup> using the calculated values of  $b_d$  and of the tight-binding integrals. In particular, the value of the hybridization integral  $H_{\varphi d}$  is evaluated from the difference between  $H_{dd}$  (the eigenvalue of  $L_1^d$ , neglecting hybridization) and  $L_1^d$ .  $E_d$  is calculated from  $L_3 - \Gamma_1$  using Eq. (8). ( $L_3^u - L_3^l$  given by this equation agrees with the value taken from Fig. 9. One would expect this, since these bands have no interaction with the  $sp$  bands.) The form factor  $V_{111}$  given by Eq. (7) is also taken from the calculated band structure.

$\Delta V_{\varphi\varphi}^{(2)}$  is the matrix element of the crystal potential, calculated with the  $l=2$  component of  $\varphi$ . It was introduced in the model Hamiltonian<sup>35</sup> following a suggestion by Heine.<sup>39</sup> Its numerical value (calculated using the  $L_1 - L_1^d$  gap of Fig. 9) is small, namely,  $-0.75$  eV. Neglecting  $\Delta V_{\varphi\varphi}^{(2)}$  gives  $L_1 - L_1^d = 9.85$  eV using Eq. (9), which is only 0.60 eV higher than the value of Fig. 9.  $\Delta V_{\varphi\varphi}^{(2)}$  will be neglected in the following. The zero-strain values of  $V_{111}$ ,  $H_{\varphi d}$ , and  $E_d$  are listed in Table V.

The tight-binding parameters for changed nearest-neighbor distances were calculated in the same way as for the distance in the unstrained crystal. For trigonal distortion (Table I) and levels with  $\mathbf{k}$  parallel to  $[111]$ , the strain coefficient of  $H_{dd}$  is ( $R$  is the nearest-neighbor

<sup>38</sup> G. C. Fletcher and E. P. Wohlfahrth, *Phil. Mag.* **42**, 106 (1951).

<sup>39</sup> V. Heine, *Phys. Rev.* **153**, 673 (1967).